

## IMPROVED SCREW CLOSING CAP

### DOMAIN OF THE INVENTION

The invention relates to the domain of metal closing caps with plastic inserts, typically designed for closing bottles with screw caps.

### 5 STATE OF THE ART

A number of closing caps with metal shells and plastic inserts are already known, the metal shell being used for capping by crimping the cap onto the threaded glass ring, and the threaded insert performing  
10 the cap open-close function by screwing - unscrewing the cap, and creating the leak tight closing seal.

Thus, the applicant's patents include:

- French patent No. 2 763 046 that discloses a means of fixing the insert to the metal shell,
- 15 - French patent No. 2 792 617 that discloses composite closing caps in which the appearance of the cap can be modified by keeping the same insert and therefore without needing to modify the technical functions of the cap,
- 20 - French patent No. 2 793 216 that discloses composite closing caps with an add-on seal,
- French patent No. 2 803 827 that discloses closing caps with a thin insert.

### 25 PROBLEMS THAT ARISE

There are several types of requirements and problems due to closing caps according to the state of the art:

- firstly, closing caps must have the required seal and in particular a very good seal if used for packaging of white wine,

5 - secondly, this seal must be obtained without a high required screwing/unscrewing torque, because it is important to be able to unscrew closing caps by hand, particularly during the first opening and obviously without requiring any tools whatsoever,

10 - finally, it is important that these sealing and screwing/unscrewing torque values can be obtained under high speed industrial conditions for capping, without significant waste, in other words accepting relatively high tolerances on the exact dimensions of glass bottles to be capped.

15 The invention is intended to develop a closing cap that satisfies these three objectives.

#### DESCRIPTION OF THE INVENTION

20 According to the invention, the screw closing cap designed to cooperate with a neck of a receptacle, typically a bottle designed to contain an alcoholic drink such as wine, the said neck forming a mouth lip on the upper part and comprising an outer thread on its sidewall and a recessed part on which the said cap will  
25 be crimped, comprises a) a typically metal outer shell, typically including an outer head and an outer skirt, b) an insert typically made of plastic, the said insert contained in the said shell and fixed to the said shell, comprising a head and a skirt provided with an  
30 inner thread designed to cooperate with the outer thread of the said neck, and c) a seal typically forming an add-on part fixed to the said insert, the said seal comprising a central part and a peripheral

part or border, and is characterised in that the said insert comprises a radial compression means of the said seal in contact with the said neck, such that when the said closing cap is screwed to the said neck, the said  
5 border is compressed radially between the said insert and the said neck, and thus the seal and the opening torque of the said cap are to a large extent independent of the axial position of the said cap with respect to the said neck.

10 The applicant had already observed that many problems encountered in capping using caps according to the state of the art were due particularly to slight variations in the height of the bottles to be capped, or possibly a small difference in the axial distance  
15 between the cap and the mouth lip, particularly due to the normal clearance of cap devices, which were the cause of a variation in the axial compression of the seal on the neck mouth lip, and consequently a variable seal and a variable screwing torque.

20 Thus, following his observations, the applicant developed a cap in which the add-on seal is compressed radially, and on an industrial capping line he observed that firstly a greater tolerance was possible on the axial position of the cap with respect to the mouth lip  
25 while still obtaining the required seal, and secondly the screwing-unscrewing torque was approximately constant and remained within the normal range of values, without the need to compress the seal in the axial direction.

30 Furthermore, the use of such a cap enabled higher capping rates, largely due to this greater tolerance.

According to the invention, radial compression is a compression comprising a preponderant radial

component, which assumes a compression force applied along a compression direction at an angle of more than  $45^\circ$  from the vertical, the angle being  $90^\circ$  in the case of pure radial compression and  $0^\circ$  in the case of a pure axial compression.

#### DESCRIPTION OF THE FIGURES

All figures are related to the invention.

Figure 1a is a view of an axial section of an insert (3) without its seal (4).

Figure 1b is an enlarged view of part of the insert in Figure 1a (part at the top left).

Figure 1c is a lateral top perspective view of an insert (3), at a smaller scale than the insert in Figure 1a.

Figure 1d corresponding to Figure 1a, shows an insert (3), the seal (4) being present and fixed to the insert by a plurality of notches or pins (34), typically 3 notches at  $120^\circ$ .

Figure 2a is a left partial axial section of an insert (3) with its seal (4), the seal (4) being fixed to the insert by the inner thread (33).

Figure 2b is a right partial axial section of the insert (3) in Figure 2a screwed onto a neck (5) - the metal shell (2) of the cap (1) not being shown.

Figure 2c is an enlarged right partial axial sectional view of a cap (1) screwed onto a neck (5) illustrating radial compression (6) of the peripheral edge (41) of the seal (4) in contact with the upper vertical part (51) of the neck (5), by the circular tab (32) in the case in which the cap (1) is at an axial distance H0 from the mouth lip (50) of the neck (5).

Figures 3a and 3b are similar to Figure 2c.

In Figure 3a, the cap (1) is at an axial distance  $H1 > H0$  from the mouth lip (50) of the neck (5), but this does not modify the height  $R1$  of the overlap area (60).

In Figure 3b, the cap (1) is at an axial distance  $H2 < H0$  from the mouth lip (50) of the neck (5), but this does not modify the height  $R1$  of the overlap area (60).

In Figure 4a that corresponds to Figure 2c, the metal shell (2) has a radius of curvature  $RC1$  at the junction between the outer head (20) and the outer skirt (21) that is less than the radius of curvature  $RC2$  of the metal shell (2) in Figure 2c or Figure 4b, the insert (3) being the same in both cases.

According to Figure 4b, the cap (1) is fixed to a pouring spout (7), the flared upper part (71) of which is folded down onto the mouth lip (50) of the neck.

Figure 5a shows an axial section of the reversible fixing of the pouring spout (7) with the central part (40) of the seal (4) obtained using a support part (8), the pouring spout comprising a plurality of fixing arms (73) cooperating reversibly with the said support part (8) fixed to the central part (40) of the seal (4).

Figure 5b shows an axial section of the pouring spout (7) fixed to the neck (5) after unscrewing of the cap (1).

Figure 5c is a partial view in a horizontal plane showing the fixing arms (73) of the pouring spout (7) cooperating with the head (82) of the support part (8).

Figure 6 is an axial sectional view of a cap (1) shown screwed onto a neck (5), in a lateral view.

The cap (1) on the left part of the figure is shown screwed rather than crimped, and on the right part the cap (1) is shown crimped, a portion of the

outer skirt (21) having been pushed under the recessed part (53) of the neck (5) during capping.

Figure 7a is a diagrammatic axial sectional view of radial compression (6) of the seal (4) in contact  
5 with the neck (5).

Figures 7b and 7c are diagrammatic partial axial views illustrating the case in which the insert fixes the cap (1) to the neck (5) due to a plurality of hooks (371) of a lower part (37) cooperating with the  
10 recessed part (53) of the neck (5), and includes a means of detecting a first opening due to a line of weakness (36) formed by a plurality of connecting strips connecting the lower part (37) to the rest of the insert (3).

Figure 7b corresponds to the cap (1) screwed  
15 before being opened for the first time, while Figure 7c illustrates detachment of the said lower part (37) following a first opening causing rupture of the connecting strips along the line of weakness (36).

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#### DETAILED DESCRIPTION OF THE INVENTION

According to the invention, so as to form the said radial compression means (6):

a) the said inner skirt (31) may comprise a  
25 circular tab (32) with an axial spacing equal to  $h_1$  from the said inner head (30) forming the bottom of the said insert, the said distance  $h_1$  typically varying from 0.5 mm to 5 mm, so as to form an annular groove (35) with an axial height equal to at least the  
30 thickness  $e$  of the said seal (4), the said annular groove (35) being limited at its top part by the said tab (32) and at its lower part typically by the said

thread (33), the said tab (32) having a radial width 1 typically varying from 0.2 mm to 2 mm,

b) the diameter of the said seal (4) may be chosen such that the said edge (41) is capable of cooperating  
5 with the said annular groove (35), the said seal (4) having an annular overlap area with the said tab and typically with the said thread called the upper area and the lower area respectively, so that the said seal (4) remains fixed to the said insert (3) before the  
10 said cap (1) is screwed onto the said neck (5), or after the said cap (1) is unscrewed from the said neck (5),

c) when the said cap (1) is screwed onto the said neck (5), the said tab (32) or a flexible radial end  
15 (320) of the said tab (32) and the said edge (41) of the said seal (4) can cooperate, the said tab (32) or the said flexible radial end (320) applying the said radial compression (6) on the said edge (41), so as to apply the said edge in contact with the said neck (5)  
20 and typically an upper part (51) of the said neck, forming an overlap area (60) between the said edge (41) and the said tab or radial end (320) inclined at more than  $45^\circ$  from the vertical, thus sealing the said cap (1) screwed to the said neck (5).

25 Figure 7a illustrates the case of an overlap area forming an angle of approximately  $60^\circ$  from the vertical.

In some cases, this angle may be as large as  $80^\circ$  and possibly even  $90^\circ$  in the case in which the tab (32)  
30 is at a sufficient axial distance from the inner head (30) of the insert so as to face the vertical part of the said upper part (51) of the neck (5).

As illustrated in Figure 1d, the said insert (3) may comprise a plurality of notches or retaining pins (34), typically 3 notches arranged at  $120^\circ$  from each other, so as to provide the said lower annular overlap area instead of or in addition to the said thread (33),  
5 so as to fix the said seal (4) to the said insert (3).

According to the invention, the said inner skirt (31) of the said insert (3) may have a thickness  $E_j$  at the bottom of the thread (33) varying from 0.1 mm to  
10 1 mm, and typically from 0.15 mm to 0.5 mm.

Figures 1a and 1d show inserts (3) with skirt thickness  $E_j$  equal to 0.3 mm (maximum value).

The said insert (3) may be a threaded and typically moulded insert made of a thermoplastic  
15 material, typically chosen from among PS, PET, PA, and polyolefins such as PE or PP. High-impact PS will be used in preference.

Inserts are typically injection moulded.

The said shell (2) may be an aluminium or tin  
20 metal shell, or may be made of a crimpable multilayer metalloplastic material.

As illustrated on the right part of Figure 6, the metal shell is crimped under the glass ring, in the recessed part (63) of the neck (5).

25 Typically, the said seal (4) may be made of a multilayer material, typically including a compressible central core C made of a thermoplastic material with a density varying from 200 to 500 kg/m<sup>3</sup>, a lower layer I typically made of polyolefin or possibly an oxygen  
30 barrier material designed to come into contact with the said alcoholic drink.

Its thickness  $e$  can vary from 0.5 to 3 mm.



According to one embodiment of the invention, the said insert (3) may have a height  $H_i$  less than the height  $H_c$  of the said shell (2).

The height  $H_c$  of the said shell (2) may be at least  
5 twice as high as the height  $H_i$  of the said insert (3) so as to form a cap with a long skirt as illustrated for example in Figure 6.

In this case, the said shell (2) may include a means of detecting or facilitating a first opening,  
10 typically a line of weakness (22) or a first opening strip formed on the said outer skirt, the said means being located at a height between  $H_c$  and  $H_i$ , such that the said means is located above the said recessed part (53) of the said neck (5) when the said cap (1) is  
15 screwed onto the said neck (5), the said cap (1) being crimped to the said neck (5) by local deformation of the said outer skirt (21) of the said shell (2) in the said recessed part (53), such that the said cap (1) cannot be unscrewed without breaking the line of  
20 weakness of removing the said strip.

According to another embodiment of the invention, the height  $H_i$  of said insert (3) may be equal to at least the height  $H_c$  of the said shell (2) as illustrated in Figure 7b.

25 In this case, in particular the said insert (3) may include a means of detecting or facilitating a first opening, the said inner skirt of the said insert including an attachment means in its lower part designed to cooperate with the said recessed part when  
30 the said cap is screwed and crimped to the said neck.

In Figures 7b and 7c, the insert (3) comprises a line of weakness (36) delimiting a lower part (37) comprising a plurality of hooks (371) designed to

cooperate with the said recessed part (53) of the neck, the lower part (37) may include a shoe (370) cooperating with the lower end of the outer skirt (21) of the shell (2).

5       As soon as the cap (1) is unscrewed as illustrated in Figure 7c, the said lower part (37) separates and appears visibly as such, as an indicator that the cap has been opened for the first time.

10       According to the invention, the said shell (2) may have a radius of curvature RC of the said shell at the junction between the said outer head and the said outer skirt varying from 0.5 mm to 5 mm, and typically equal to 1.5 mm or 2.5 mm.

15       As shown in Figure 4b, the said shell (2) may have a radius of curvature RC equal to at least 2 mm, and the said insert (3) may have a radius of curvature Rci typically equal to RC, such that the entire part of the said shell (2) compresses the said insert (3) or is in contact with the said insert (3), and the said insert  
20       (3) thus has an improved resistance at high temperature.

It has been observed that the lack of free space between the said shell and the said insert has an influence on the seal if storage or transport  
25       conditions can involve relatively high temperature conditions typical of tropical countries.

The applicant considered that the lack of free space, and the fact that the shell forms a binding band for the insert, should limit creep and relaxation of  
30       stresses in the insert such that it would consequently be possible for it to keep its mechanical properties and assure the said radial compression even after temperatures are temporarily as high as 40° to 50°C.

Typically, the said insert (3) and the said shell (2) are fixed by force fitting and/or by an adhesive layer fixing the said outer skirt (21) and inner skirt (31) together.

5        Advantageously, the said adhesive layer is a hot-melt layer.

As shown in Figures 5a and 5b, a complementary element may be fixed to the said insert (3) or to the said seal (4), the said complementary element being  
10        designed to remain fixed to the said neck (5) after the said cap (1) has been unscrewed, the said element typically forming a pouring spout (7).

Figures 5a and 5b illustrate the case in which the pouring spout (7) is reversibly fixed to the central  
15        part (40) of the seal (4).

The pouring spout (7) may include a typically vertical partition (70) capable of penetrating into the said neck (5) and a flared upper part (71) that pours the contents of the bottle, the partition (70) being  
20        provided with a plurality of sealed ribs (72) fixing the pouring spout to the neck (5). This pouring spout (7) includes arms (73) that cooperate with a part (8) by reversible snapping-on. The said part (8) includes a stand (8) sealed to the central part of the seal (40)  
25        and a rod (81) carrying a head (82) that cooperates with the end of the ribs (72).

#### EXAMPLE EMBODIMENTS

All figures correspond to example embodiments  
30        according to the invention.

All inserts (3) were made by injection moulding of high-impact PS.

All metal shells were fabricated by drawing a 0.21 mm thick aluminium strip so as to obtain shells with a height  $H_c$  typically equal to 60 mm.

5 The seals were obtained from a material available in the shops made by CORELEN ®, in a strip with thickness  $e$  of 1.2 mm.

This material comprises a 1 mm thick expanded PE or EPE core, its complete multilayer structure possibly being represented by EPE / Kraft paper / Sn / PVDC, the  
10 PVDC layer being in contact with the liquid, intermediate layers of adhesive fixing the adjacent layers if necessary.

EPE / PE / PVDC / PE or PE / PVDC / PE / EPE / PE / PVDC / PE type seals were also used for the tests.

15 Inserts were assembled in the shells by depositing a hot-melt strip on the inside of the said outer skirt (21), and the said insert (3), typically comprising the said seal, was force fitted until the said inner head (30) stopped in contact with the said  
20 outer head (20).

Capping tests were carried out on bottles with glass rings references BVP 30H60 and BVS30H60.

A) Inserts and caps according to figures 1a to 1d:

25 Inserts (3) according to Figures 1a to 1d were made, with an outside diameter of 29.3 mm and a height  $H_i$  of 11.1 mm. The thickness  $E_j$  of the inner skirt (31) at the thread root was taken equal to 0.3 mm, as the maximum nominal value.

30 These inserts (3) comprise a circular tab (32) at an axial distance  $h_1$  of 2.8 mm, the said tab having a radial width  $l$  equal to 1.55 mm - see Figure 1b. This circular tab (32) has a thinned end or inner part (320)

that can bend upwards when screwing the cap onto the neck.

The radius of curvature  $R_{Ci}$  of these inserts (3) was taken equal to 0.79 mm.

5        Figure 1a shows a first variant of an insert - the seal (4) being missing - in which the said circular tab (32) and the upper end of the threads (33) define an annular groove (35) with an axial width of 1.4 mm.

10        On the variant shown in Figure 1d, the lower part of the annular groove (35) is defined by three notches or pins (34) arranged at  $120^\circ$  from each other, only one being shown in Figure 1d.

B) Inserts and caps according to Figures 2a to 2c:

15        An insert (3) with its seal (4) was also shown diagrammatically in Figure 2a, the first insert was shown after screwing onto a neck (5) in Figure 2b to illustrate the said radial compression (6).

20        Figure 2c shows an enlarged detailed illustration of the radial compression (6) of the border (41) of the seal (4) by the circular tab (32) of the insert (3) clamped in the typically metal shell (2).

25        In this case, the overlap area (60) between the border (41) and the tab (32) by its radial end (320) is approximately vertical, such that the compression direction (61) makes an angle of approximately  $90^\circ$  from the vertical.

C) Inserts and caps according to Figures 4a and 4b:

30        An insert (3) was made with a radius of curvature  $R_{ci}$  of 2.5 mm. This insert was also used to make two caps (1), differing from the metal shell (2) by the radius of curvature  $R_C$ .

The shell (2) in Figure 4a had a radius of curvature RC1 equal to 1.5 mm, while the shell (2) in Figure 4b had a radius of curvature RC2 of 2.5 mm. Thus, a free space (23) was present inside the said shell, between the said shell and the said insert in the case of the shell shown in Figure 4a, while the shell in Figure 4b did not have a space (23).

D) Caps with pouring spouts obtained according to Figures 5a and 5b:

A pouring spout (7) and a part (8) acting as a temporary support for the pouring spout were formed by injection moulding of PE, enabling automatic centring of the pouring spout with respect to the neck. The part (8) was heat-sealed to the central part (40) of the seal (4) that included a lower layer also made of PE.

The said part (8) is fixed to the said pouring spout (7) provided that a minimum axial force is applied, but is typically sufficient so that the said pouring spout does not separate from the said part (8) under its own weight, such that the said seal (4) and the said part (8) remain fixed to the said insert (3) when the said cap is opened, the pouring spout (7) remaining fixed to the neck due to the friction forces generated by the said ribs (72).

E) Inserts and caps obtained according to Figure 7a:

Inserts and cap were made such that the angle between the direction of the radial compression (61) after screwing in and capping and the vertical is between 45 and 90°.

F) Inserts and caps obtained according to Figures 7b and 7c:

These inserts are moulded with a plurality of tabs forming hooks (371) capable of cooperating with the recessed part (53) located below the mating ring (54) of the neck (5) such that in this case there is no crimping of the outer skirt (21) in the said recessed part (53).

#### RESULTS OBTAINED

The caps (1) obtained were screwed onto necks as illustrated on the left part of Figure 6, and screwed to the neck in the case of the tests A to E as illustrated on the right part of Figure 6.

Firstly, as illustrated in Figures 2c, 3a and 3b, the applicant observed that the final seal and the unscrewing torque of caps according to the invention are not very sensitive to screwing and crimping conditions, in other words to capping conditions in general, and also that they are not very sensitive to variations in the height of capped bottles.

Thus, contrary to what was observed with screw caps according to the state of the art, the leak tightness and the opening torque to unscrew the cap remain approximately constant throughout a production campaign, regardless of the source of the glass bottles used.

The seal of caps was measured by filling 75 cm<sup>3</sup> bottles with red wine with alcohol content of 12° at atmospheric pressure at 20°C, leaving a free volume of 13 cm<sup>3</sup> above the wine level. After screwing and crimping the caps on the bottles, the bottles were heated gradually and the temperature at which the first leaks occurred were marked, as a function of the

increased pressure in the bottle that was also measured.

Leak pressure and temperature	Leak pressure	Leak temperature
STELUXE ® cap according to the state of the art with an axial compression seal	1.40 bars, namely 0.140 MPa	45°C
Cap according to the invention as shown in Figures 1a and 4b	2.75 bars, namely 0.275 MPa	53.5°C

- 5 All other things being equal, caps according to the invention had a very much better seal than caps according to the state of the art.

Furthermore, storage tests at ambient temperature and at 50° showed that the opening torque was within  
 10 the range varying from 11 to 13 lbs/inch, namely 1.24 to 1.47 N.m, while the cap according to the state of the art required a much higher torque:

Opening torque in lb.inches and in N.m	At ambient temperature (after putting in the drying oven at the leak temperature)
STELUXE® cap according to prior art	From 14 to 17 lb/inches namely 1.58 to 1.92 N.m



Cap according to the invention	From 11 to 13 lb/inches
As shown in Figures 1a and 4b	namely 1.24 to 1.47 N.m

Furthermore, having increased the reliability of capping, the applicant observed that capping rates with caps according to the invention could be increased by  
5 about 10% without any risk of seal defects appearing.

The applicant also observed that it is possible to obtain a good seal without the need for a high opening torque, as is the case with caps according to the state of the art.

10 Thus, even elderly persons are capable of unscrewing caps according to the invention.

Finally, the applicant has observed that caps (1) according to the invention could have a better seal at "high temperature" using caps of the type shown in  
15 Figure 4b in which the said shell and the said insert each have a relatively high radius of curvature. Caps according to the invention can thus be used everywhere in the world, regardless of local weather conditions.

## 20 ADVANTAGES OF THE INVENTION

As is clear from the above, screw caps according to the invention have major advantages over caps according to the state of the art, and they do not introduce any extra manufacturing costs and they use  
25 the same production techniques and materials as caps according to prior art.

These advantages may be summarised as follows:

- high seal not very dependent on dimensional variations of bottles and capping conditions,

- a tight seal throughout the required temperature range,
- constant first opening torque, significantly less than the torque level necessary for caps according to the state of the art,
- increased capping rates.
- use for capping wine, alcohol, spirit and aperitif bottles.

# 10 LIST OF MARKS

	Screw closing cap.....	1
	Vertical axial direction.....	10
	Metal shell.....	2
	Outer head.....	20
15	Outer skirt.....	21
	Line of weakness.....	22
	Free space.....	23
	Plastic insert.....	3
	Inner head.....	30
20	Inner skirt.....	31
	Circular tab.....	32
	Flexible radial end.....	320
	Thread.....	33
	Notch or seal retaining pin.....	34
25	Annular groove.....	35
	Line of narrow connecting strips.....	36
	Lower part.....	37
	Heel.....	370
	Hook.....	371
30	Seal.....	4
	Central part.....	40
	Peripheral edge .....	41
	Bottle neck.....	5

	Mouth lip .....	50
	Upper part.....	51
	Threaded part or thread.....	52
	Recessed crimping part.....	53
5	Mating ring.....	54
	Radial compression of 41 in contact with 51.....	6
	Overlap area between 41 and 320.....	60
	Compression direction.....	61
	Pouring spout.....	7
10	Vertical partition .....	70
	Flared upper part.....	71
	Fixing ribs to the neck 5.....	72
	Reversible fixing arm to 8.....	73
	Support part of 7 sealed or welded to 40.....	8
15	Bottom.....	80
	Rod.....	81
	Head.....	82